



# Handoffs & Transitions of Care

March 8, 2018

# Our Presenters

- Amy Starmer, MD, MPH
  - Boston Children’s Hospital
- Nan Henderson, DNP
  - St. Jude Children’s Research Hospital
- Maggie Halladay, BSN, CCRN, SRNA
  - Duke University Nurse Anesthesia Program
- Katie Steider, MPH, CPH
  - Division of Public Health, Communicable Disease Branch, North Carolina Department of Health and Human Services

# Today's Agenda

- IPASS Handoff
- Anesthesia (OR to PACU)
- Interfacility Handoff

# Polling Question 1

Does your organization promote a standardized handoff tool?

1. Yes
2. No
3. Don't Know

# Polling Question 2

Which type of handoff would you like discussed at our upcoming Safe Tables in March?

1. ED to inpatient
2. OR to post-anesthesia
3. Acute care to outside facility
4. Shift to shift
5. Inpatient to ancillary unit



# **Adapting the I-PASS Handoff Program Across a Variety of Clinical Settings**

Amy J. Starmer, MD, MPH

Nan Henderson, DNP

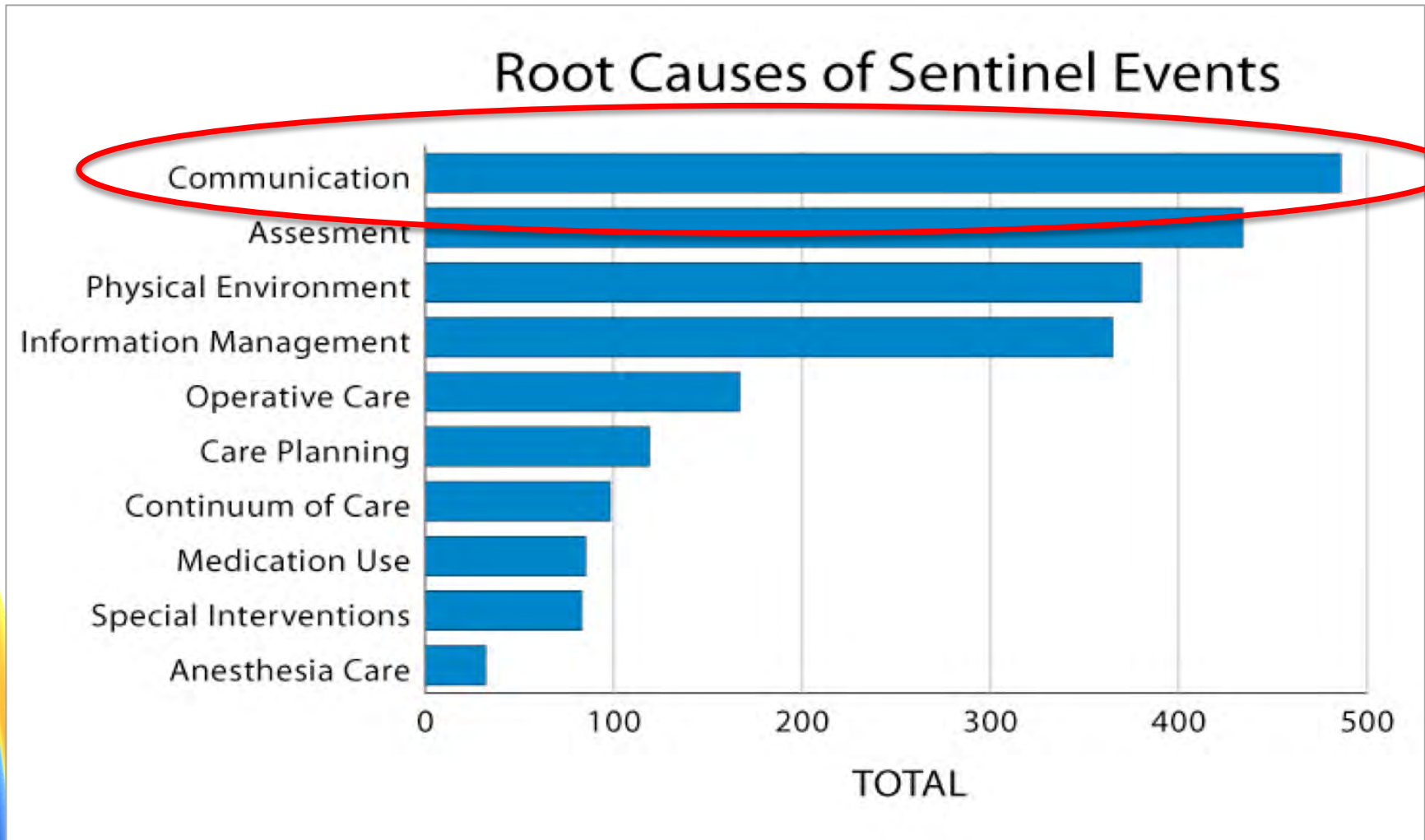
# Disclosures

- **Dr. Starmer has**
  - Received grant funding from the US Department of Health and Human Services, Agency for Healthcare Research and Quality (AHRQ), and Patient Centered Outcomes Research Institute.
  - Received consulting fees for helping various institutions implement I-PASS.
  - Co-founded, serves as a board member, holds equity interest, and serves as a consultant for the I-PASS Institute, a company which aims to assist institutions in the implementation of the I-PASS Handoff Program.
  - Documented that this presentation will not involve discussion of unapproved or off-label, experimental or investigational use.
- **St. Jude Children's Hospital is a client of the I-PASS Institute**
- **We will**
  - Present copyrighted materials and has obtained permission from Boston Children's Hospital and the I-PASS Study Group.

# Objectives

- Review the development and implementation of the I-PASS Handoff Program for end of shift handoffs and its associated impact on medical errors and patient safety
- Describe representative examples where the I-PASS framework has been successfully adapted for other provider and handoff types
  - Focus on Nursing I-PASS adaptation

# Communication Failures



**Joint Commission. (2011). Sentinel Event Statistics Data - Root Causes by Event Type (2004 - Third Quarter 2011)**



## ***IPE-PRIS Accelerating Safe Sign-outs***

- **Multisite study at 9 Children's Hospitals**
- **Implemented I-PASS handoff bundle for resident physician change of shift handoffs**
- **Supported by**
  - **Initiative for Innovation in Pediatric Education (IPE)**
  - **Pediatric Research in Inpatient Settings (PRIS)**
- **Funded by \$3 million grant from U.S. Dept of Health and Human Services September 2010**

# Intervention: I-PASS Handoff Bundle Components



# Standardized Structure for Communication: The I-PASS Mnemonic

<b>I</b>	<b>Illness Severity</b>	<ul style="list-style-type: none"><li>• Stable, “watcher,” unstable</li></ul>
<b>P</b>	<b>Patient Summary</b>	<ul style="list-style-type: none"><li>• Summary statement</li><li>• Events leading up to admission</li><li>• Hospital course</li><li>• Ongoing assessment</li><li>• Plan</li></ul>
<b>A</b>	<b>Action List</b>	<ul style="list-style-type: none"><li>• To do list</li><li>• Timeline and ownership</li></ul>
<b>S</b>	<b>Situation Awareness and Contingency Planning</b>	<ul style="list-style-type: none"><li>• Know what’s going on</li><li>• Plan for what might happen</li></ul>
<b>S</b>	<b>Synthesis by Receiver</b>	<ul style="list-style-type: none"><li>• Receiver summarizes what was heard</li><li>• Asks questions</li><li>• Restates key action/to do items</li></ul>

# Results: I-PASS Study Findings

The NEW ENGLAND JOURNAL of MEDICINE

SPECIAL ARTICLE

## Changes in Medical Errors after Implementation of a Handoff Program

A.J. Starmer, N.D. Spector, R. Srivastava, D.C. West, G. Rosenbluth, A.D. Allen,  
E.L. Noble, L.L. Tse, A.K. Dalal, C.A. Keohane, S.R. Lipsitz, J.M. Rothschild,  
M.F. Wien, C.S. Yoon, K.R. Zigmont, K.M. Wilson, J.K. O'Toole, L.G. Solan,  
M. Aylor, Z. Bismilla, M. Coffey, S. Mahant, R.L. Blankenburg, L.A. Destino,  
J.L. ...

“In 10,740 patient admissions, the medical-error rate decreased by 23% from the preintervention period to the postintervention period (24.5 vs. 18.8 per 100 admissions,  $P < 0.001$ ), and the rate of preventable adverse events decreased by 30% (4.7 vs. 3.3 events per 100 admissions,  $P < 0.001$ ). ... Across sites, significant increases were observed in the inclusion of all prespecified key elements in written documents and oral communication during handoff ... There were no significant changes from the preintervention period to the postintervention period in the duration of oral handoffs (2.4 and 2.5 minutes per patient, respectively;  $P = 0.55$ ) or in resident workflow, including patient–family contact and computer time.”

BACKG

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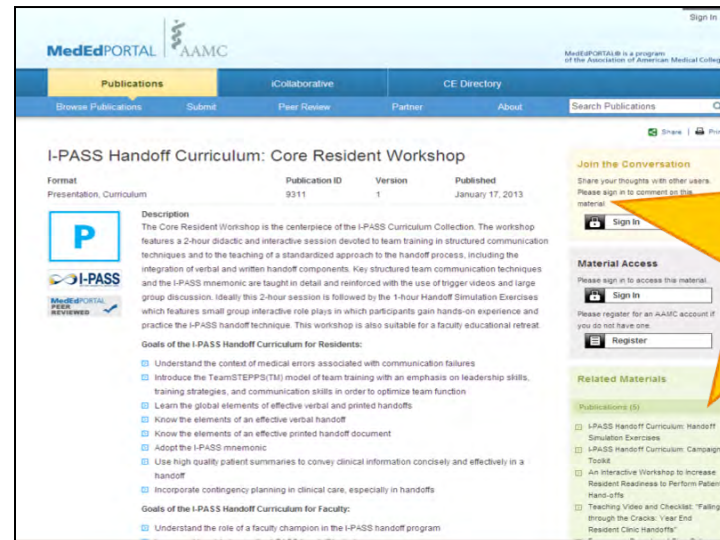


# **Disseminating and Adapting I-PASS: Ongoing Work and Future Directions**

# I-PASS Website and MedEdPORTAL



The screenshot shows the I-PASS website homepage. At the top, there is a navigation bar with links for "Request Materials", "Contact Us", and "Login". The main header features the I-PASS logo with the tagline "BETTER HANDOFFS. SAFER CARE." and a photograph of healthcare professionals. Below the header, there are several sections: "HOME", "ABOUT", "LEADERSHIP", "PARTNERS", "MATERIALS", "PUBLICATIONS", "UPCOMING EVENTS", and "FUNDING". A prominent section titled "I-PASS EVENTS" highlights a "Pediatric Hospital Medicine 2012 Meeting" on July 20, 2012, in Cincinnati, OH. The main content area contains text about the I-PASS study, its goals, and a call to action: "Curriculum materials are now available. To submit a request for materials, please click here." At the bottom, there are links for "TERMS OF USE", "© 2012 I-PASS Study Group/Children's Hospital Boston", and "PRIVACY POLICY".



The screenshot shows the MedEdPORTAL website. The top navigation bar includes "Publications", "Collaborative", and "CE Directory". The main content area displays the "I-PASS Handoff Curriculum: Core Resident Workshop" with a table of metadata:

Format	Publication ID	Version	Published
Presentation, Curriculum	9311	1	January 17, 2013

The description states: "The Core Resident Workshop is the centerpiece of the I-PASS Curriculum Collection. The workshop features a 2-hour didactic and interactive session devoted to team training in structured communication techniques and to the teaching of a standardized approach to the handoff process..."

Below the description, there are sections for "Goals of the I-PASS Handoff Curriculum for Residents:" and "Goals of the I-PASS Handoff Curriculum for Faculty:". The "Residents" goals include understanding medical errors, introducing the TeamSTEPS(TM) model, learning global elements of effective verbal and printed handoffs, knowing elements of an effective verbal handoff, adopting the I-PASS mnemonic, and using high quality patient summaries. The "Faculty" goal is to understand the role of a faculty champion in the I-PASS handoff program.

On the right side, there are sections for "Join the Conversation", "Material Access", and "Related Materials". The "Material Access" section includes "Sign In" and "Register" buttons. The "Related Materials" section lists several publications, including "I-PASS Handoff Curriculum: Handoff Simulation Exercises" and "I-PASS Handoff Curriculum: Campaign Toolkit".

1 of Top 10  
Downloaded  
Resources

[www.ipasshandoffstudy.com](http://www.ipasshandoffstudy.com)

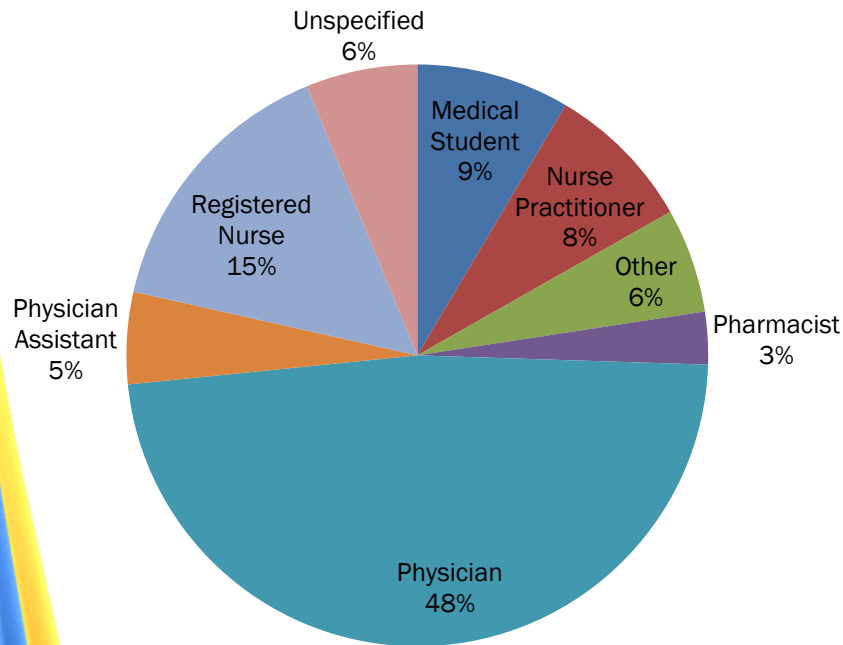
# I-PASS Curricular Downloads



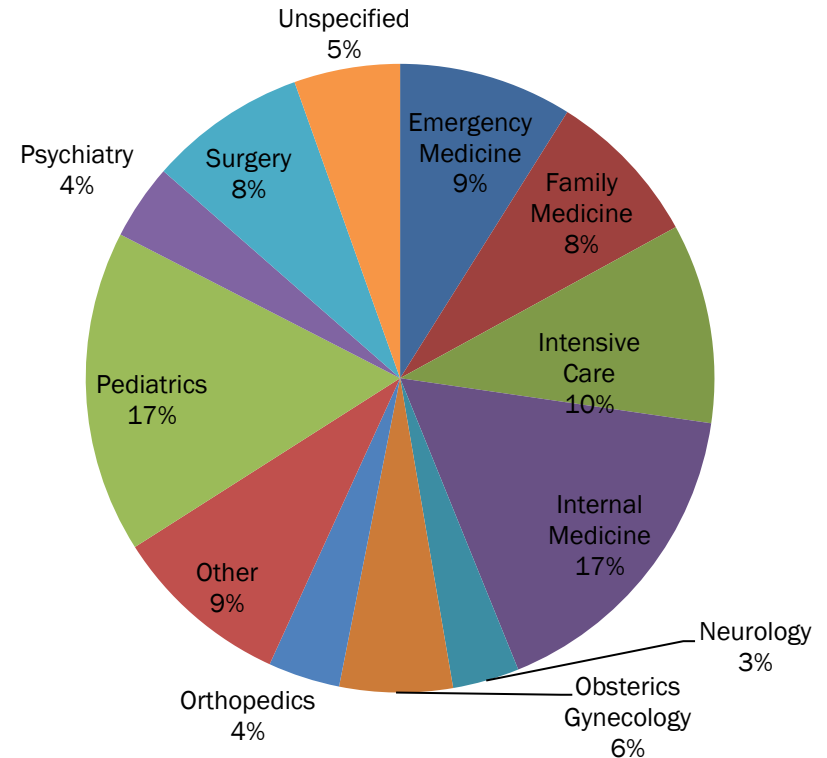
**3,496 US Curricular Downloads**  
**864 International Downloads**

# I-PASS Curricular Downloads By Provider Type And Clinical Setting

## Providers



## Clinical Settings



# Adapting For Other Providers

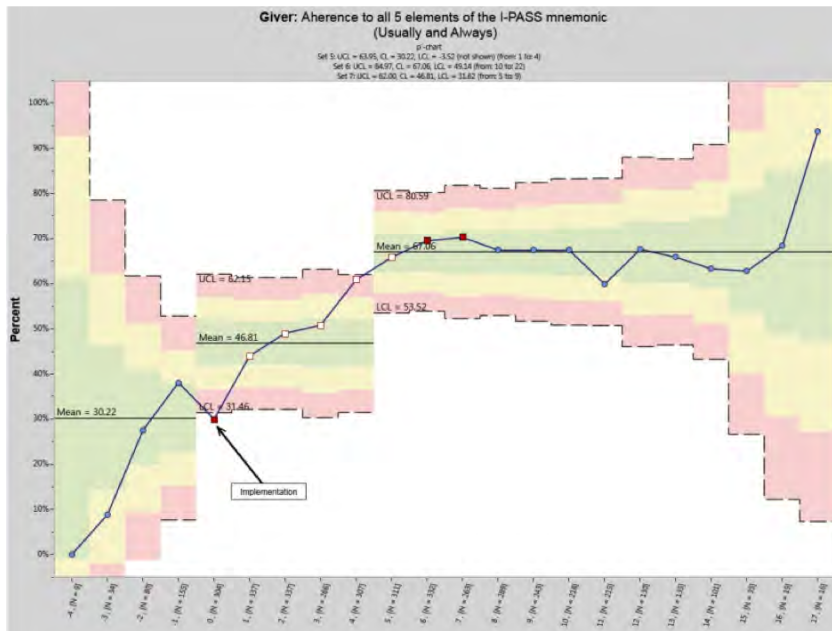
## I-PASS Mentored Implementation

- I-PASS Study Group partnered with the Society for Hospital Medicine: Mentored Implementation approach
- Selection of 32 institutions across North America
- Adaptation of all curricular materials
  - Materials for adult providers
  - Implementation guide specifying key milestones
  - Focus on more independent and flexible learning (e.g. “flipped classroom” approach)
- Mentorship team and QI collaborative

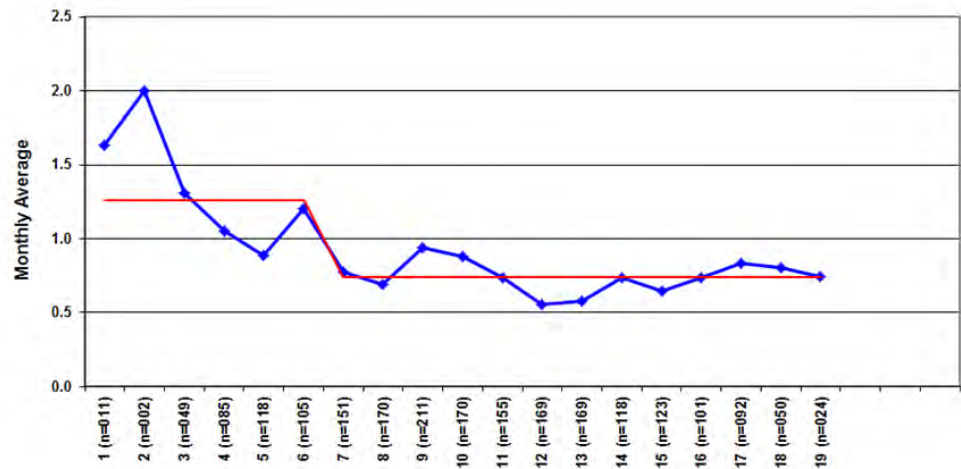


# Mentored Implementation Results

## Adherence to All 5 I-PASS Mnemonic Elements (% Usually or Always)



## Handoff-Related Adverse Event Rate (Mean Patients per Rotation Experiencing Any Harm)



# Adapting For Other Providers

## Nurses

### Nursing I-PASS Implementation

- Increased inclusion of
  - Illness severity assessment (37% vs 67%)
  - Patient summary (81% vs 95%)
  - To do list (35% vs 100%)
  - Opportunity for receiving nurse to ask questions (34% vs 73%).
- Overall, 13/21 (62%) of verbal handoff data elements were more likely to be present following implementation
- Decrease in interruption frequency (67% vs 40% of handoffs with interruptions)
- No change in the median handoff duration (18.8 min vs 19.9 min,  $p=0.48$ ) or other workflow activities

BMJ Quality & Safety Online First, published on 5 July 2017 as 10.1136/bmjqs-2016-006224 ORIGINAL RESEARCH

#### Effects of the I-PASS Nursing Handoff Bundle on communication quality and workflow

Amy J Starmer,<sup>1</sup> Kumiko O Schnock,<sup>2</sup> Aimee Lyons,<sup>3,4</sup> Rebecca S Hehn,<sup>3</sup> Dionne A Graham,<sup>5</sup> Carol Keohane,<sup>2,6</sup> Christopher P Landrigan<sup>1,2,7</sup>

#### ABSTRACT

**Background and objective:** Handoff communication errors are a leading source of sentinel events. We sought to determine the impact of a handoff improvement programme for nurses.

**Methods:** We conducted a prospective pre-post intervention study on a paediatric intensive care unit in 2011–2012. The I-PASS Nursing Handoff Bundle intervention consisted of educational training, verbal handoff I-PASS mnemonic implementation, and visual materials to provide reinforcement and sustainability. We developed handoff direct observation and sustainability. We workflow assessment tools to measure: (1) quality of presence of key handoff data elements, and (2) duration of handoff and other workflow activities.

**Results:** I-PASS implementation was associated with improvements in verbal handoff communications, including inclusion of illness severity assessment (73% preintervention vs 87% postintervention,  $p=0.001$ ), patient summary (81% vs 95%,  $p=0.05$ ), to do list (35% vs 100%,  $p<0.001$ ) and an opportunity for the receiving nurse to ask questions (34% vs 73%,  $p<0.001$ ). Overall, 13/21 (62%) of verbal handoff data elements were more likely to be present following implementation whereas no data associated with a decrease in interruption frequency pre versus post intervention (67% vs 40% of handoffs with interruptions,  $p=0.003$ ) without a change in the median handoff duration (18.8 min vs 19.9 min,  $p=0.48$ ) or changes in time spent in direct or indirect patient care activities.

**Conclusions:** Implementation of the I-PASS Nursing Handoff Bundle was associated with widespread improvements in the verbal handoff process without a deleterious impact on direct workflow implementation

to the changing work schedules of physicians-in-training (residents) and nurses. In an effort to recruit new nurses and retain existing staff as well as to avoid the potential for fatigue-related error, many hospitals are now offering flexible work hours that include shifts of variable length with many nurses choosing to work a combination of these shifts. Many nurses elect to work less than 40 hours per week leading to high rates of handoffs for each individual patient. Additionally, to support the need for staffing, nurses often are requested to assume patient care duties across several units, often without prior knowledge of patients or typical care practices on that unit.<sup>1–4</sup>

While some literature supports the value of resident handoff tools both in improving patient safety and efficiency of care,<sup>5–11</sup> rigorous studies of nursing handoffs have been more limited.<sup>12–17</sup> Studies that have been conducted have identified problems in the data transmission process due to lack of standardisation<sup>11</sup> as well as deficiencies in infrastructure to support handoffs, technology limitations, and a high rate of interruptions and miscommunications.<sup>17–19,20</sup> While numerous problems have been identified,

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<sup>2</sup>Center for Patient Safety, Research and Practice, Division of General Internal Medicine, Brigham and Women's Hospital, Harvard Medical School, Boston, Massachusetts, USA  
<sup>3</sup>Department of Critical Care, Boston Children's Hospital, Boston, Massachusetts, USA  
<sup>4</sup>Harvard Medical School, Boston, Massachusetts, USA  
<sup>5</sup>Center for Patient Safety and Quality Research, Boston Children's Hospital, Boston, Massachusetts, USA  
<sup>6</sup>ICM/OH Risk Management, Foundation of the Harvard Medical Institutions, Boston, Massachusetts, USA  
<sup>7</sup>Division of Sleep and Circadian Medicine and Neurology, Brigham and Women's Hospital, Harvard Medical School, Boston, Massachusetts, USA

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# **RN to RN Care Handoff Improvements at St. Jude Children's Research Hospital**

Nan Henderson, DNP  
Director of Patient Safety  
St. Jude Children's Research Hospital



# St. Jude Children's Research Hospital

THE **MISSION** OF ST. JUDE CHILDREN'S RESEARCH HOSPITAL IS TO ADVANCE CURES, AND MEANS OF PREVENTION, FOR PEDIATRIC CATASTROPHIC DISEASES THROUGH RESEARCH AND TREATMENT. CONSISTENT WITH THE VISION OF OUR FOUNDER, DANNY THOMAS, NO CHILD IS DENIED TREATMENT BASED ON RACE, RELIGION OR A FAMILY'S ABILITY TO PAY.



## High Risk Patient Population

- Pediatric oncology and survivors (~2/3)
- Non-oncology (~1/3)
  - Sickle cell disease
  - Pediatric/adolescent HIV

## Patient Volume and Care Model

- Licensed for 80 beds
  - ~6000 outpatient visits/month
  - ~7800 active patients/year
- Once patients accepted essentially all care provided by St. Jude during active treatment



# Why I-PASS?



- Clearly best practice with substantial evidence base
- Creates a shared mental model between giver and receiver to communicate right information
- Designed specifically for handoffs
- Adaptable to different handoff types

<b>I</b>	Illness Severity	<ul style="list-style-type: none"><li>• Stable, “watcher,” unstable</li></ul>
<b>P</b>	Patient Summary	<ul style="list-style-type: none"><li>• Summary statement</li><li>• Events leading up to admission</li><li>• Hospital course</li><li>• Ongoing assessment</li><li>• Plan</li></ul>
<b>A</b>	Action List	<ul style="list-style-type: none"><li>• To do list</li><li>• Time line and ownership</li></ul>
<b>S</b>	Situation Awareness and Contingency Planning	<ul style="list-style-type: none"><li>• Know what’s going on</li><li>• Plan for what might happen</li></ul>
<b>S</b>	Synthesis by Receiver	<ul style="list-style-type: none"><li>• Receiver summarizes what was heard</li><li>• Asks questions</li><li>• Restates key action/to do items</li></ul>



# RN to RN: Our Choice to Start

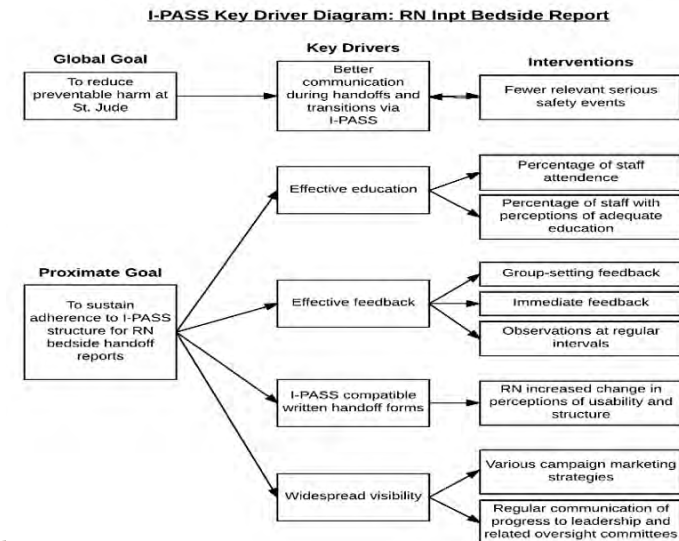
Information from many sources pointed to handoffs and transitions of care as an opportunity for improvement:

- No standard structure or format for all areas (they did their own thing)
- Serious Safety Events indicated opportunity
- Patient safety culture survey results
  - Safety culture survey results for “Handoffs and Transitions” dimension
  - Open-responses indicate opportunity
  - Focus group feedback

Started with a Plan

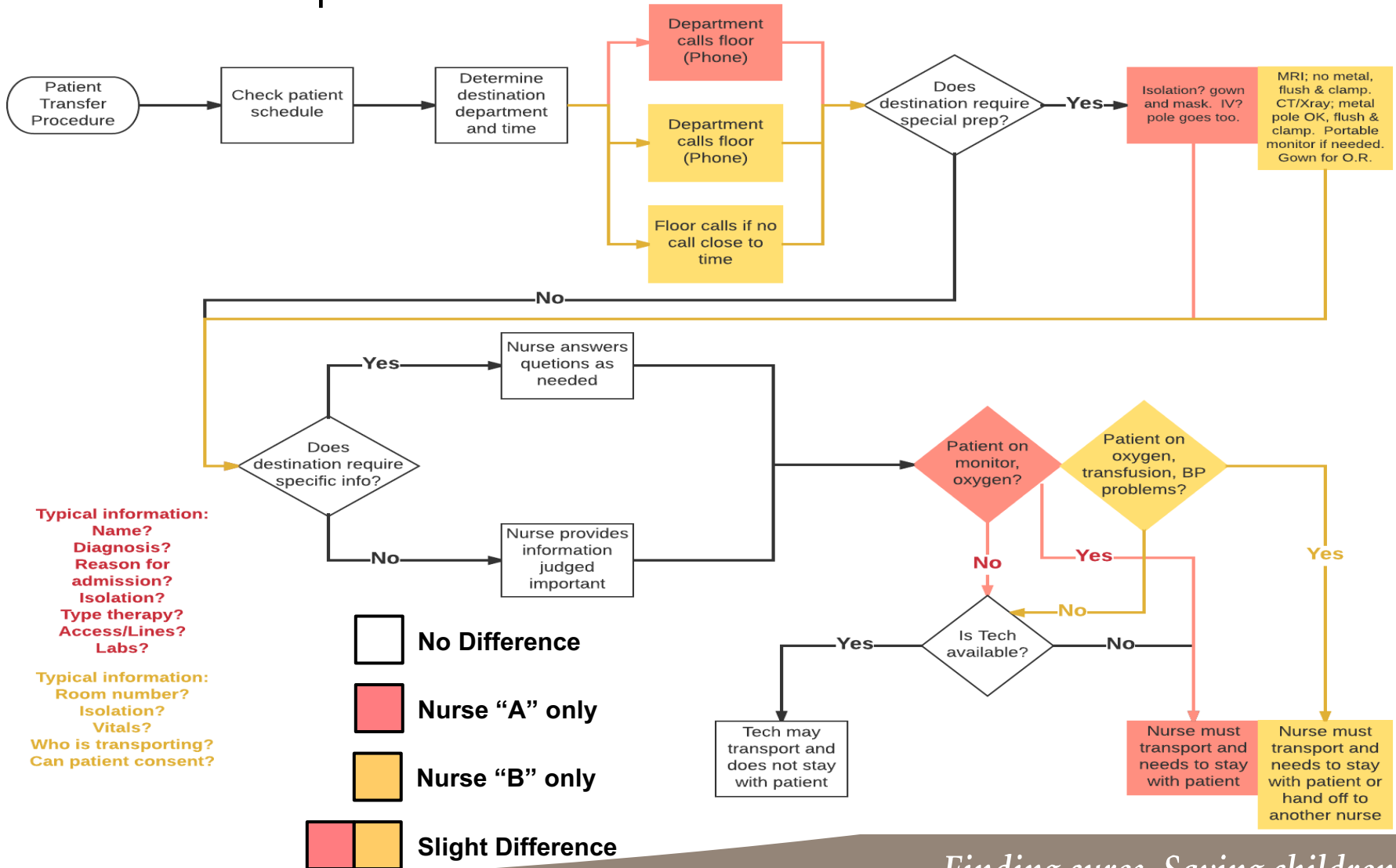
Global Goal: To reduce preventable harm

Proximate Goal: To sustain adherence to I-PASS structure for RN bedside handoff





# Worked with every Unit to flow their work \_ Example of Handoff flow chart





## What we have learned along the way...

- Listen, Listen, Listen
  - If they have input; they will have buy in
- The form/health record tool is not the handoff!
  - We constantly reiterated the importance of structured verbal communication
  - Tools clearly important but not the only focus
  - Aligning tools and implementation efforts



# ANY QUESTIONS?

[Amy.starmer@childrens.harvard.edu](mailto:Amy.starmer@childrens.harvard.edu)

[Nan.Henderson@STJUDE.ORG](mailto:Nan.Henderson@STJUDE.ORG)

# Development, Implementation, and Evaluation of a Site Specific Post-Anesthesia Care Unit Patient Handoff Tool

Maggie Halladay, BSN, CCRN, SRNA

Class of 2018

Duke University Nurse Anesthesia Program

# Clinical Problem

- Clinical problem: Non-standardized transfer of care report provided by anesthesia providers to PACU RNs immediately following surgery in the PACU
- Current PACU handoff: unstructured, incomplete, inconsistent
- Significance: treatment delay, medication errors, sentinel events
- Joint Commission requirement: standardized process for handoffs

# Overall Goal

- Increase patient safety by standardizing the post anesthesia care unit (PACU) handover process at Duke Raleigh Hospital
- Primary Objective:
  - Implement a standardized PACU handoff tool to optimize patient information transfer between anesthesia providers and PACU nurses

# PACU Handoff Tool

Patient	Patient Identification (Nameband check)	
	Time In	
	Allergies	
	Surgical Procedure and Reason for Surgery	
	Type of Anesthesia (GA, TIVA, regional)	
	Surgical or anesthetic complications	
	PMH and ASA Scoring	
	Preoperative Cognitive Function	
	Preoperative Activity Level (METs)	
	Limb Restriction	
Preop Vitals		
Procedure	Positioning of Patient (if other than supine)	
	Intubation conditions (grade of view, airway, quality of bag mask ventilation, bite block?)	
	Lines/catheters (IVs, a-lines, CVSSs, foley chest tubes, surgical drains, VP shunt)	
	Fluid Management	Fluids= EBL= UO=
Medications	Analgesia Plan - During Case, Postop Orders	
	Antiemetics Administered	
	Medications due during PACU (antibiotics, etc.)	
	Other Intra-Op Medications (steroids, antihypertensives)	

## Anesthesia Handoff Note

**Patient Name:** @NAME@

**Age:** @AGE@

**Surgical Procedure:** @ANPROCEDURE@

**Pre-op diagnosis:** @ORPREDX@

**Surgeon:** Dr. @ATTEND@

**Anesthesia Care Providers:** @ANSTAFF@

**Ht/Wt:** @WEIGHT@

**Allergy:** @ALLERGY@

**Isolation Status:** @DUHSISO@

**Past Medical History:** @HXPMH@

**Past Surgical History:** @HXPSH@

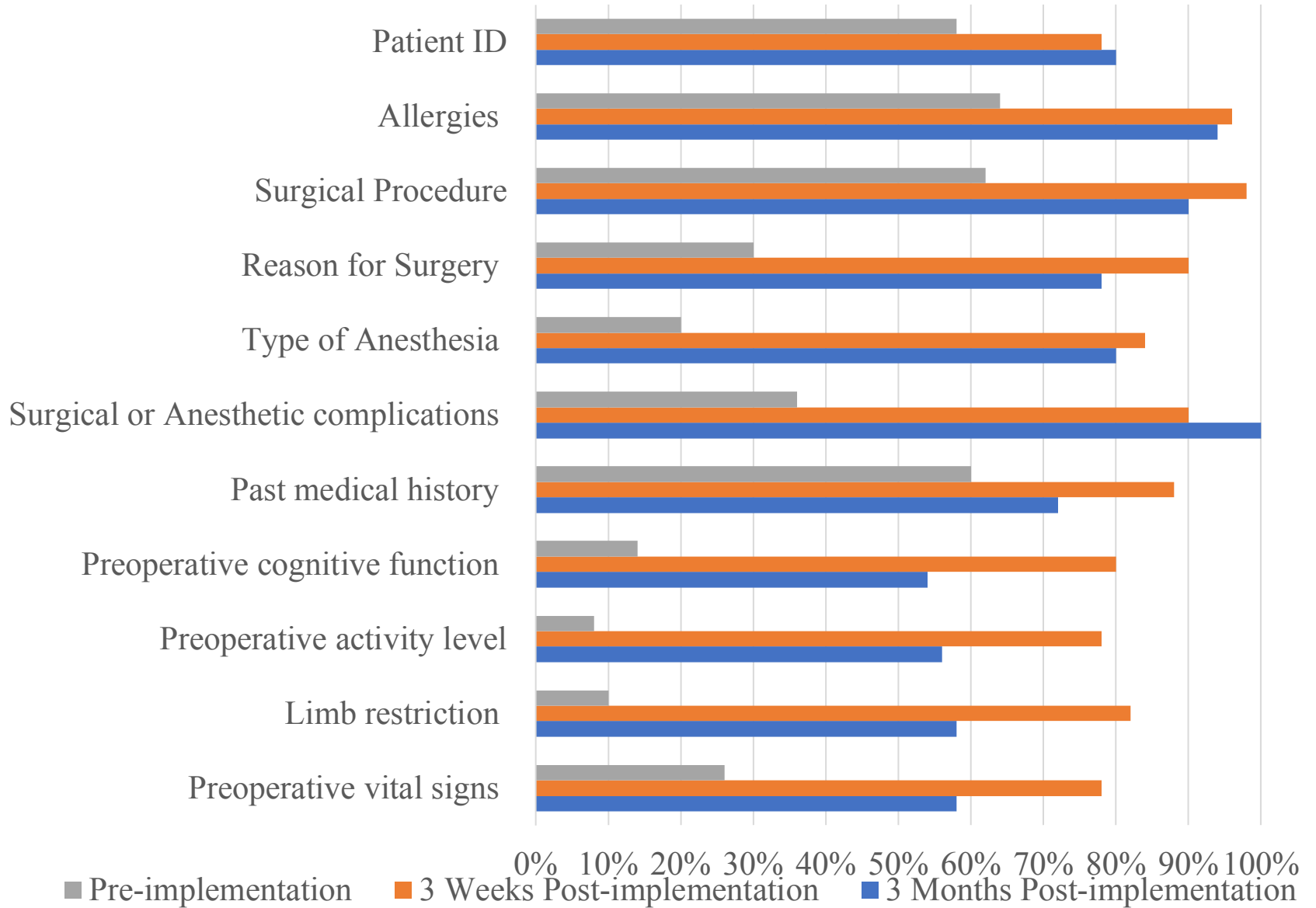
**Medications:** @MED@

### Labs:

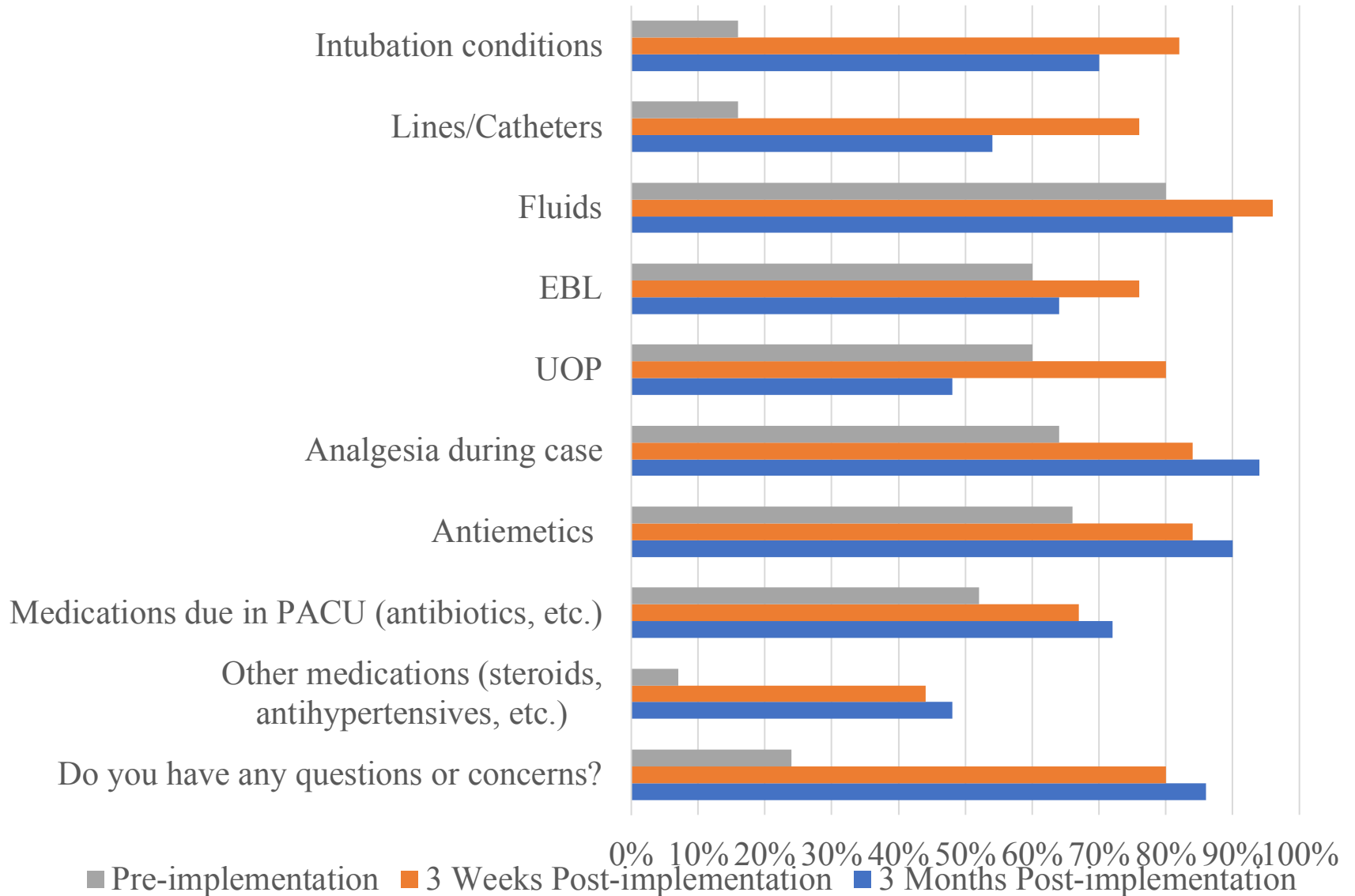
@LABRCNTIP(Na:3,K:3,CL:3,CO2:3, BUN:3,CREATININE:3,GLUCOSE:3,CA LCIUM:3,MG:3)@	@LABRCNTIP(ALT:3,AST:3,ALKPHOS:3 ,TBILI:3,ALB:3)@
@LABRCNTIP(POCGLU:3)@	
@LABRCNTIP(WBC:3,HGB:3,HCT:3,P LT:3)@	@LABRCNTIP(APTT:3,PROTIME:3,inr:3 )@

**Baseline Cognitive, Motor, &/or Sensory Deficits:** {Blank multiple:19197::  
"Yes", "Yes- dementia", "Yes- RLE weakness", "Yes- LLE weakness", "No"}

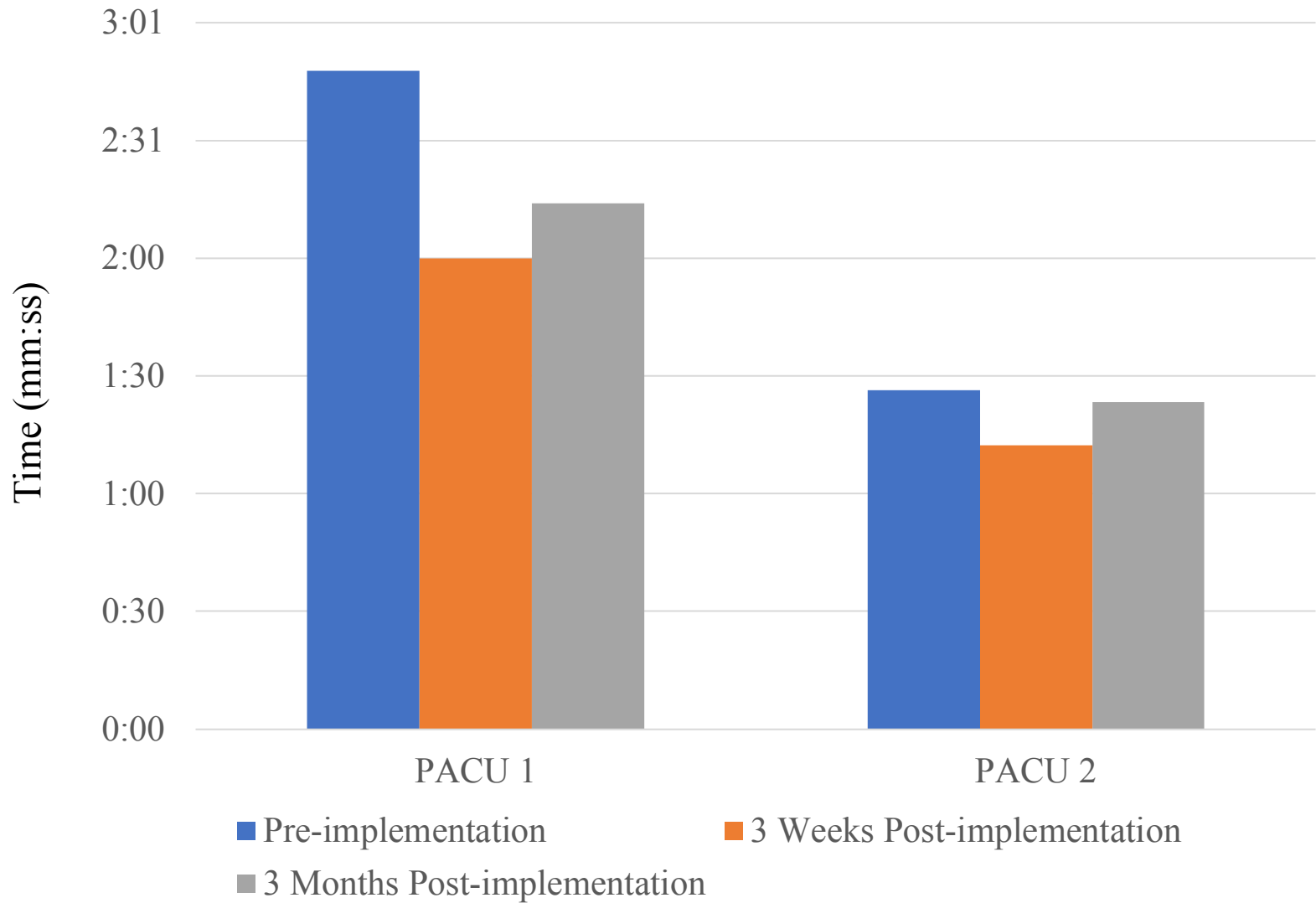
## Percentage of Items Transferred in PACU 1



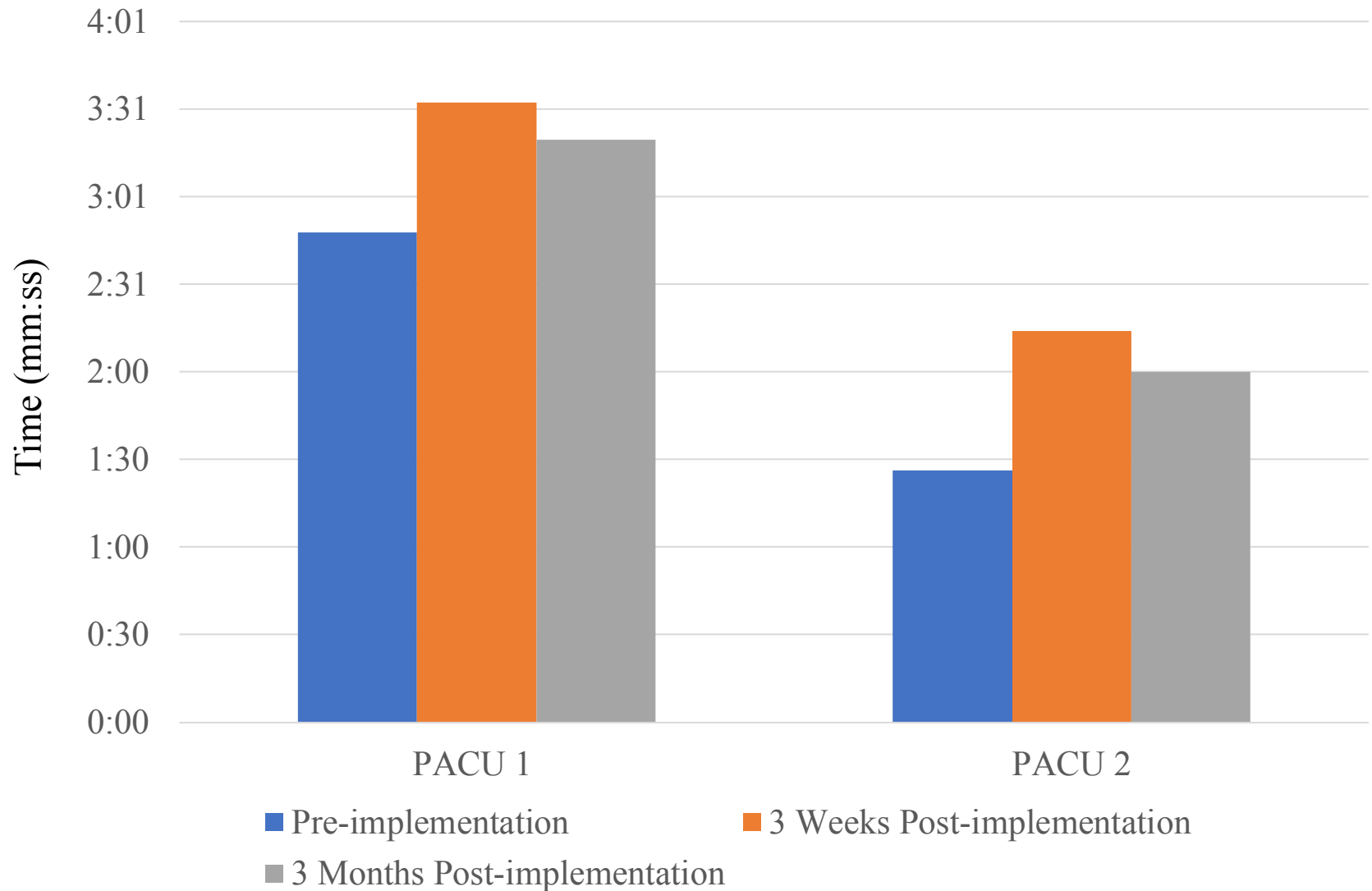
## Percentage of Items Transferred in PACU 1



## Verbal Handoff Duration



## PACU Handoff Duration



## PACU Nurse Satisfaction Survey

The anesthesia provider report was satisfactory.

I heard the entire report.

I received information about potential problems.

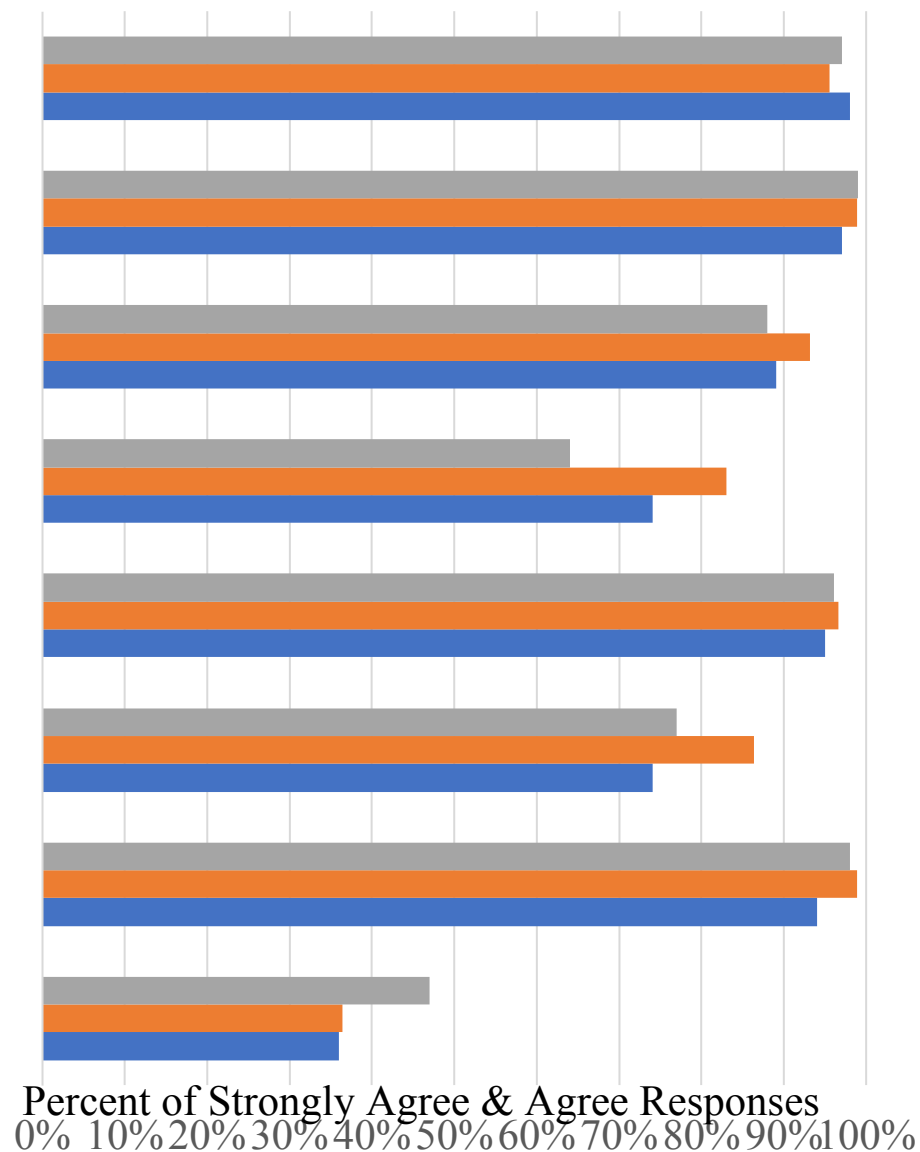
I received information about with whom to follow-up.

Handoff start and end were clear.

I received anticipatory guidance.

I had a chance to ask questions.

Distractions interrupted the handoff.



■ Pre-implementation   ■ 3 Weeks Post-implementation   ■ 3 Months Post-implementation

# Conclusion

- Electronic PACU handoff checklist
  - Increased the information transferred
  - Increased the PACU nurse satisfaction with the PACU handoff process for 2 out of the 8 satisfaction survey items
  - Decreased the verbal report duration in PACU 1
- Sustainable practice improvement as evidenced by the three months post-implementation data
- Resistant adopters addressed via
  - One-on-one re-education sessions
  - In person education sessions



March 8, 2018



# Use of an interfacility transfer form to prevent MDROs across the continuum of care

Katie Steider, HAI Epidemiologist



# Overview

- Communication between healthcare facilities
- Multidrug-resistant organisms (MDROs)
- NC DPH Interfacility Transfer Form pilot

# Polling Question

1. Does your facility currently use a standard interfacility transfer form during patient transfer and discharge?

- A. Yes
- B. No
- C. Don't know



# Polling Question

2. If your facility currently uses a standard interfacility transfer form, does it include information about infection or colonization with multi-drug resistant organisms?

- A. Yes
- B. No
- C. Don't know

# Communication between Healthcare Facilities

- Useful
  - Patient status/needs
  - Care plan
- Required by CMS
  - Reform of Requirements for Long-Term Care Facilities
  - (proposed) Revisions to Requirements for Discharge Planning for Hospitals, Critical Access Hospitals, and Home Health Agencies



# Multidrug-resistant Organisms (MDROs)

- Resistant to several kinds of drugs
- Intra- and inter-facility spread
- Vulnerable patients at risk for infection
- Infections are difficult to treat and can be associated with high mortality rates
- Examples: CRE, ESBL



# Benefits of Interfacility Communication Re: MDROs

- Protects patients/residents
- Contains healthcare costs
- Prevents the spread of MDROs



# Part of a Coordinated Approach to MDRO Prevention

Facilities work together to protect patients.

## Common Approach *(Not enough)*

- Patients can be transferred back and forth from facilities for treatment without all the communication and necessary infection control actions in place.

## Independent Efforts *(Still not enough)*

- Some facilities work independently to enhance infection control but are not often alerted to antibiotic-resistant or *C. difficile* germs coming from other facilities or outbreaks in the area.
- Lack of shared information from other facilities means that necessary infection control actions are not always taken and germs are spread to other patients.

## Coordinated Approach *(Needed)*

- Public health departments track and **alert** health care facilities to antibiotic-resistant or *C. difficile* germs coming from other facilities and outbreaks in the area.
- Facilities and public health authorities share information and implement shared infection control actions to stop spread of germs from facility to facility.



# Coordinated Approaches Prevent MDROs

**More patients get infections when facilities do not work together.**

(Example: 5 years after CRE enters 10 facilities in an area sharing patients)



SOURCE: CDC Vital Signs, August 2015.

# NC DPH Interfacility Transfer Form

- Developed with input from examples from CDC, state health departments, quality improvement organizations, regulatory agencies
- Fillable PDF that autofills in duplicate
- Instructions for use



# Sections




- Transferring facility info
- Transfer info
- Pt. demographics and VS
- Current isolation precautions
- Organisms/infections
- Current/recent sx.
- Sensory status and ADLs
- Current devices/recent procedures
- Current meds
- Vaccination/test hx.
- Personal items
- Contact information

**INTERFACILITY TRANSFER FORM**

Transferring Facility Name\*: \_\_\_\_\_  
 Transferring Facility Address\*: \_\_\_\_\_  
 Transferring Facility Phone\*: \_\_\_\_\_ Fax: \_\_\_\_\_

Transferred to\*: \_\_\_\_\_ Reason for transfer\*: \_\_\_\_\_  
 Transfer date/time\*: \_\_\_\_\_ / \_\_\_\_\_ Attending physician\*: \_\_\_\_\_ Phone\*: \_\_\_\_\_

**Patient/resident demographics and vital signs (date/time taken \_\_\_\_\_ / \_\_\_\_\_)**  
 Last Name\*: \_\_\_\_\_ First Name\*: \_\_\_\_\_ DOB\*: \_\_\_\_\_ MRN: \_\_\_\_\_  
 BP\*: \_\_\_\_\_ P\*: \_\_\_\_\_ R\*: \_\_\_\_\_ T(F)\*: \_\_\_\_\_ O<sub>2</sub> SAT\*: \_\_\_\_\_ HT(in): \_\_\_\_\_ WT(lb): \_\_\_\_\_ Diabetic? \_\_\_\_\_ Glucose: \_\_\_\_\_  
 Language  English  Other: \_\_\_\_\_ Mental status\*  Alert  Oriented  Other: \_\_\_\_\_  
 Allergies\*  None  Yes: \_\_\_\_\_ Pain Level (0-10): \_\_\_\_\_ Site: \_\_\_\_\_  
 At risk alerts\*  None  Falls  Aspiration  Pressure ulcers  Seizures  Elopement  Other: \_\_\_\_\_  
 Advanced directives\*  DNR  DNI  MOST  Living Will  Proxy, Contact \_\_\_\_\_

**Current isolation precautions\*/required PPE (Check, if indicated)**  
 No  Yes, specify  Contact  Droplet  Airborne  
 PPE, specify      

**Organisms / infections\***  None  Yes, specify type/date

Multi-drug resistant organisms (MDROs)	Current infection	Hx/Colonized	Pending result
	Date	Date	Date
Methicillin-resistant Staphylococcus aureus (MRSA)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vancomycin-resistant Enterococci (VRE)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Acinetobacter not susceptible to carbapenems	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Enterobacteriaceae resistant to carbapenems (i.e. CRE)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Extended-spectrum beta-lactamase producer (ESBL)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Clostridium difficile (C. diff)	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other: _____	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

(e.g. Group A Streptococcus (GAS), lice, scabies, disseminated shingles, norovirus, flu, TB, etc.)

**Current or recent (last 7 days) symptoms**  None  Yes, specify  
 Draining wounds  Concerning rash (e.g. vesicular)  Cough/uncontrolled respiratory secretions  
 Vomiting  Acute diarrhea or incontinent of stool  Other: \_\_\_\_\_

**Sensory status and activities of daily living\***

Vision	Hearing	Speech	Ambulate	Transfer	Toileting	Meals	Hygiene	Dressing
<input type="checkbox"/> Good <input type="checkbox"/> Poor	<input type="checkbox"/> Good <input type="checkbox"/> Poor	<input type="checkbox"/> Good <input type="checkbox"/> Difficult	<input type="checkbox"/> Self <input type="checkbox"/> Assist	<input type="checkbox"/> Self <input type="checkbox"/> Assist	<input type="checkbox"/> Self <input type="checkbox"/> Assist	<input type="checkbox"/> Self <input type="checkbox"/> Assist	<input type="checkbox"/> Self <input type="checkbox"/> Assist	<input type="checkbox"/> Self <input type="checkbox"/> Assist
<input type="checkbox"/> Blind	<input type="checkbox"/> Deaf	<input type="checkbox"/> Aphasia	<input type="checkbox"/> Not able	<input type="checkbox"/> Not able	<input type="checkbox"/> Incontinent	<input type="checkbox"/> Tube	<input type="checkbox"/> Not able	<input type="checkbox"/> Not able
Sfy: _____	Sfy: _____				Sfy: _____	Date: _____		

**Current devices / recent (last 90 days) procedures\***  None  Yes, specify  
 Tracheostomy tube  Hemodialysis catheter  Procedure, specify type \_\_\_\_\_ and date \_\_\_\_\_  
 Gastrostomy tube  Urinary catheter (date inserted) \_\_\_\_\_  Central line/PICC (date inserted) \_\_\_\_\_

**Current medications\***  None  Yes, refer to attached MAR

**Vaccination / test history\***  None  Yes, specify

Vaccine/test	Influenza (seasonal)	Pneumococcal	Zoster	Td	Tdap	Tuberculin skin test
Date administered						
Self-report vaccine/test receipt?	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	<input type="checkbox"/> Yes <input type="checkbox"/> No	Result: <input type="checkbox"/> Pos <input type="checkbox"/> Neg

**Personal items sent with patient/resident**  
 None  Specify (e.g. glasses, etc.): \_\_\_\_\_

**Notes:**

**Contact information**  
 Relative/Guardian/POA  
 Name\*: \_\_\_\_\_ Relationship: \_\_\_\_\_ Phone\*: \_\_\_\_\_ Notified?  Yes  No  
 Transferring facility representative completing form  
 Name/title (print)\*: \_\_\_\_\_ Signature: \_\_\_\_\_ Phone\*: \_\_\_\_\_

# Highlight – Current Isolation/PPE, MDROs

## Current isolation precautions\*/required PPE (Check, if indicated)

No  Yes, specify     
  Contact     
  Droplet     
  Airborne

PPE, specify     
       
       
  

## Organisms / infections\*      None      Yes, specify type/date      Current infection      Hx/Colonized      Pending result

Organisms / infections*	None	Yes, specify type/date	Current infection	Hx/Colonized	Pending result
Multi-drug resistant organisms (MDROs)			Date	Date	Date
Methicillin-resistant Staphylococcus aureus (MRSA)	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Vancomycin-resistant Enterococci (VRE)	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Acinetobacter not susceptible to carbapenems	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Enterobacteriaceae resistant to carbapenems (i.e. CRE)	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Extended-spectrum beta-lactamase producer (ESBL)	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Clostridium difficile (C. diff)	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>
Other: _____ (e.g. Group A Streptococcus (GAS), lice, scabies, disseminated shingles, norovirus, flu, TB, etc.)	<input type="checkbox"/>		<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>

# NC DPH Interfacility Transfer Form

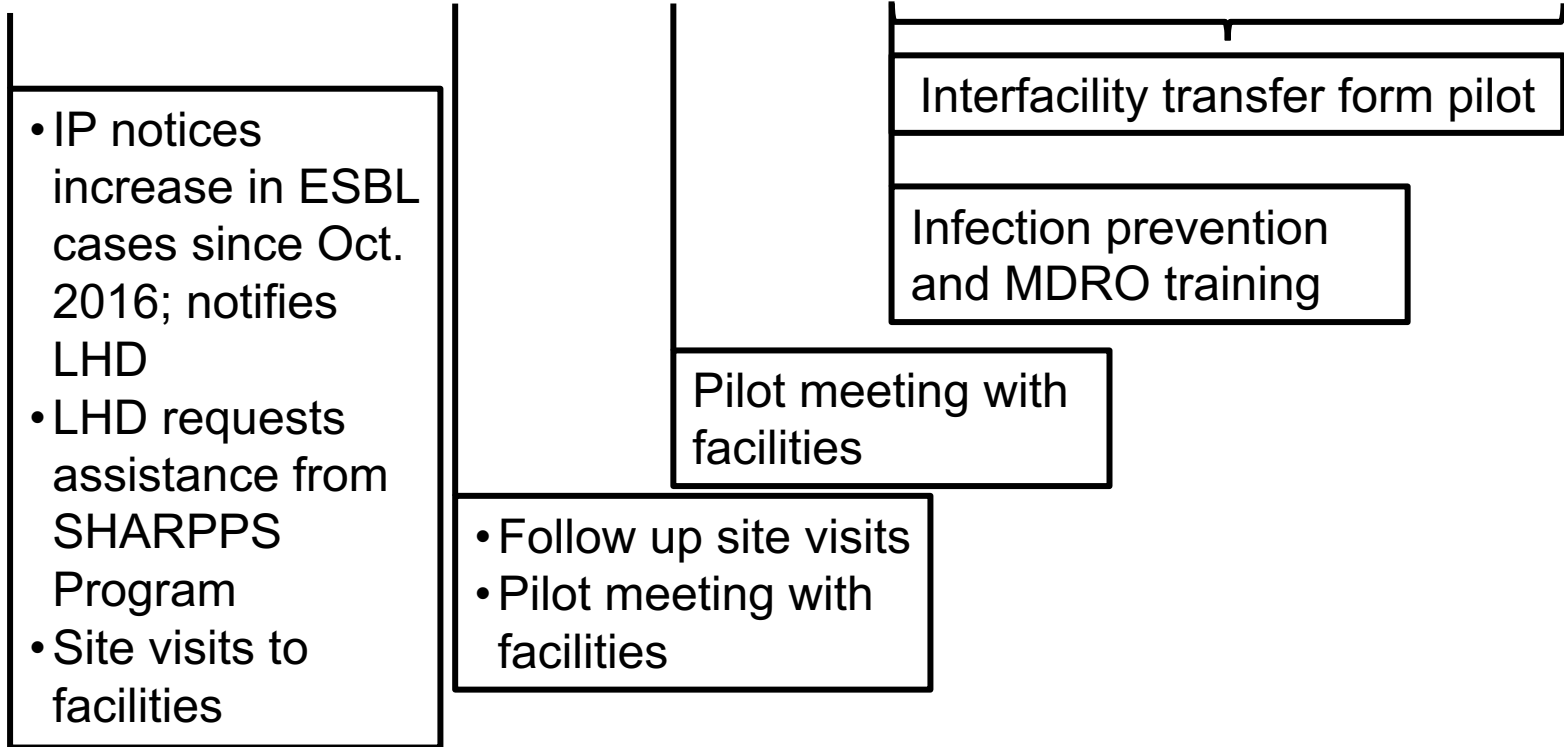
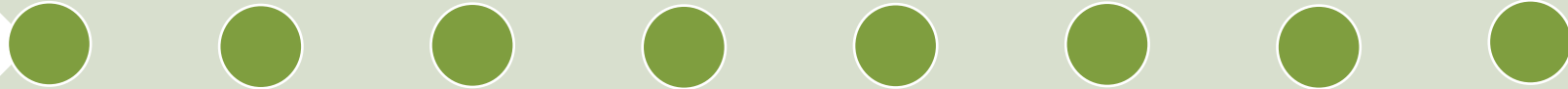
## Benefits

- Standardized format for interfacility communication of patient MDRO status during transfer
- Information needed/desired during transfer all in one place
- Complies with Reform of Requirements for Long-term Care Facilities (CMS)

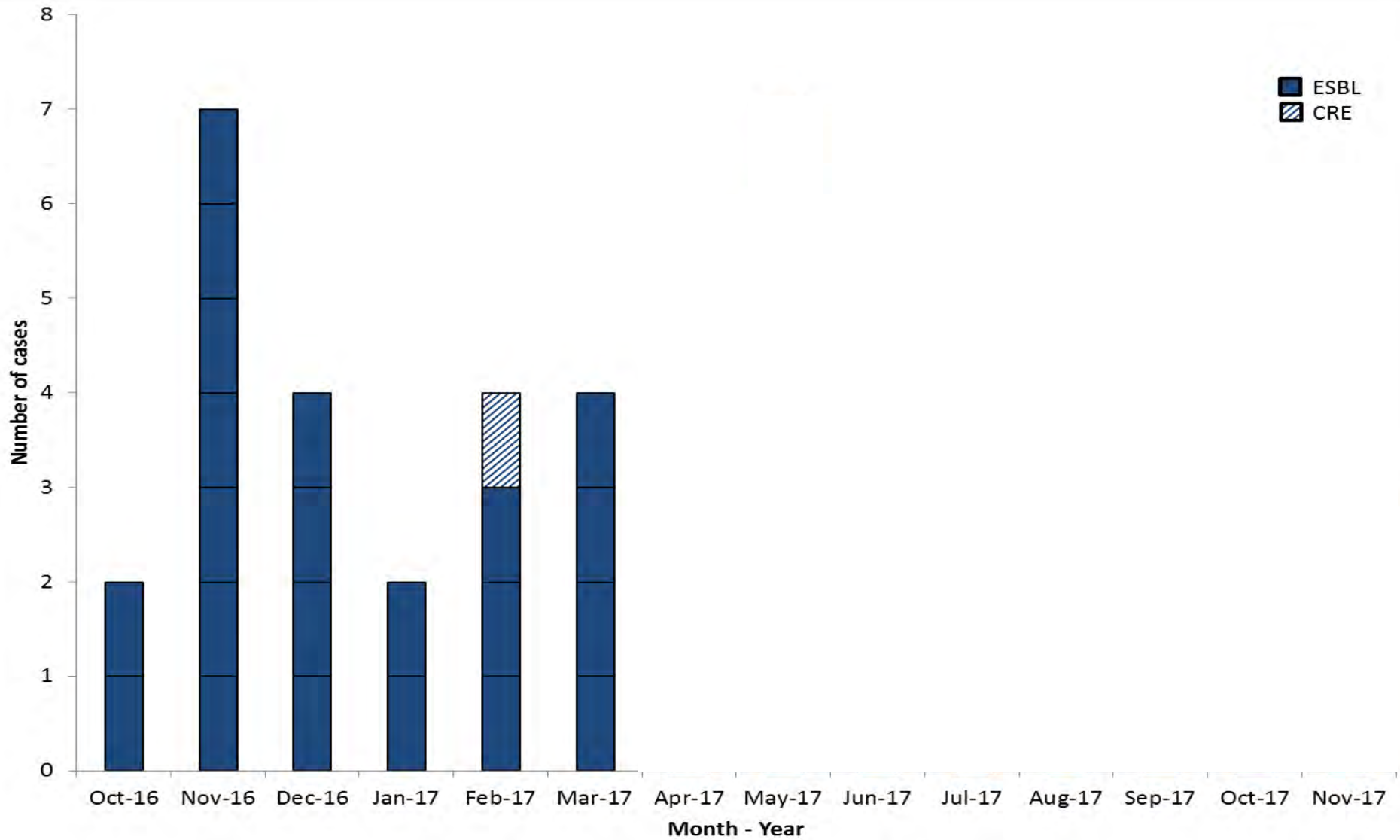


# NC DPH Interfacility Transfer Form Pilot

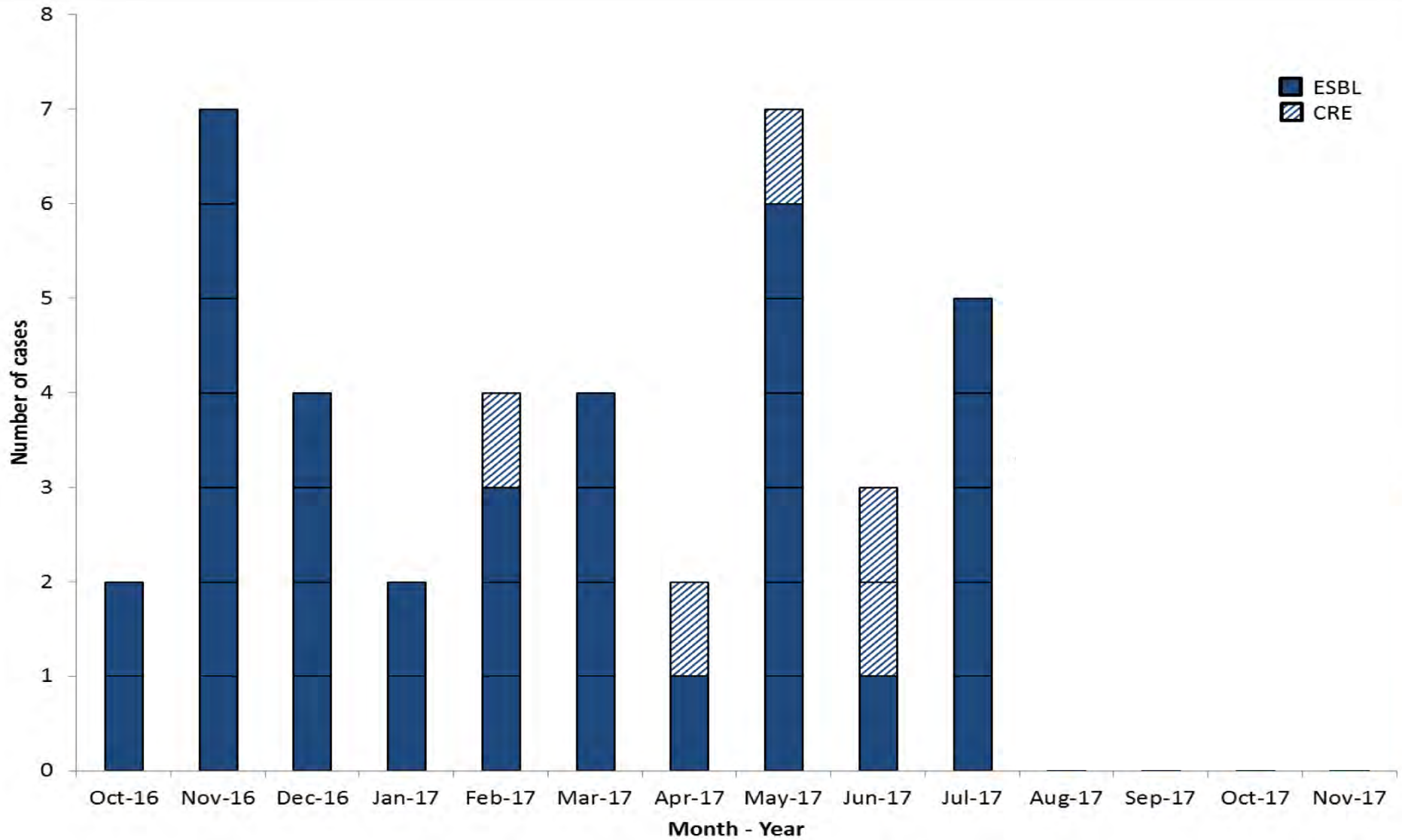
Apr. 2017      May 2017      Jun. 2017      Jul. 2017      Aug. 2017      Sept. 2017      Oct. 2017      Nov. 2017



# MDRO Outbreak in LTCFs



# MDRO Outbreak in LTCFs



# Pilot Activities

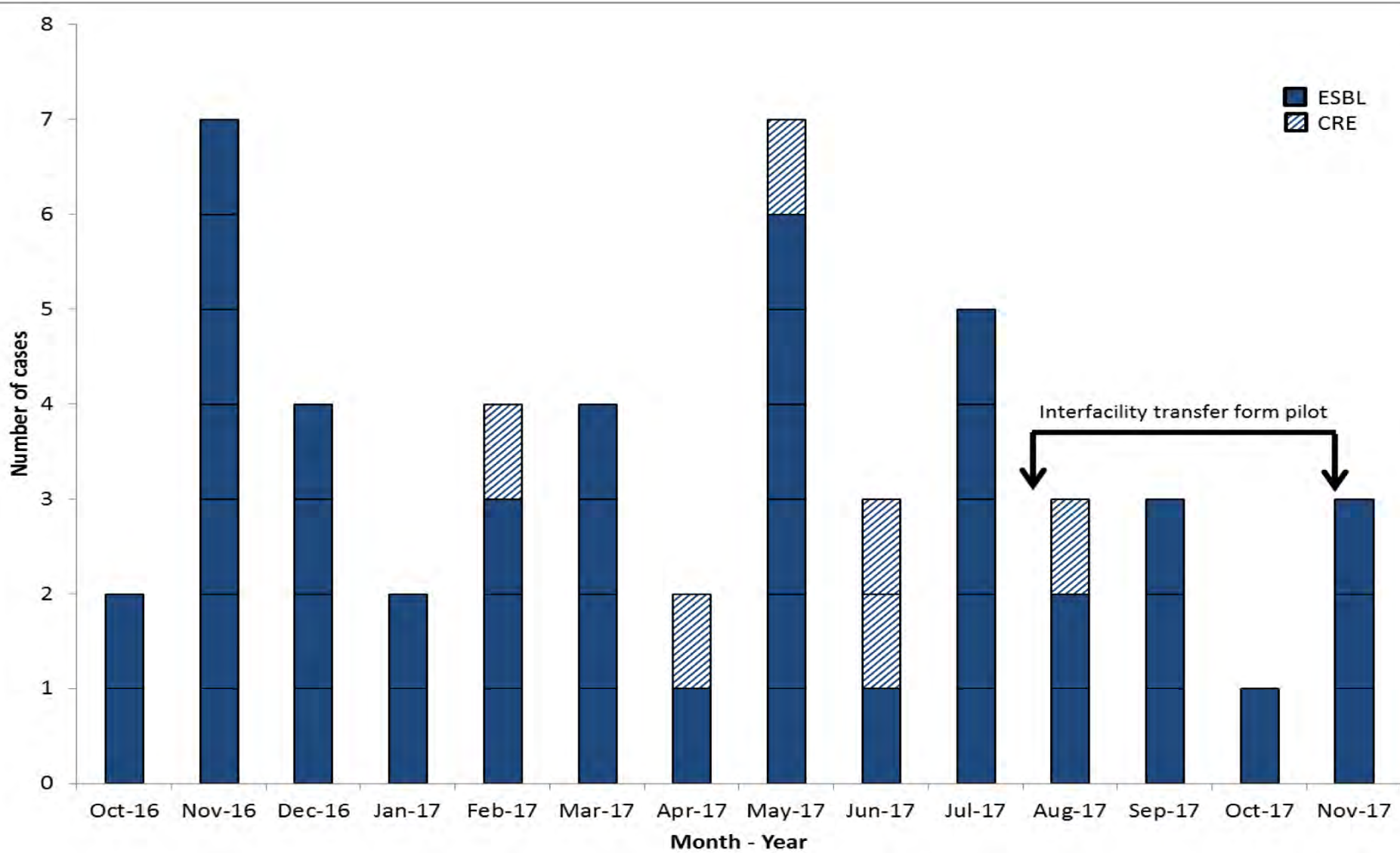
- Preparation
  - Facility recruitment
  - Stakeholder meetings
- Pilot
- Focus groups
  - Management
  - Front-line staff

# Pilot Toolkit – an Important Resource

- Tools
  - Letter of Introduction
  - Implementation Plan
  - Suggested Implementation Strategies
  - Project Charter
  - Pre-implementation Questions
  - Training Checklists and Sign-in Sheet
  - Fax Cover Sheet
- References
  - List of Participating Partners
  - Submission Schedule
  - Interfacility Transfer Form Instructions



# MDRO Outbreak in LTCFs



# Focus Group Feedback - Benefits

- Identified patients:
  - With history of MDRO colonization or infection
  - On isolation precautions and appropriate PPE
- Initiated isolation precautions in ambulance and ED
- Patient placement based on isolation status
- Identified patient needs/baseline status
- Assisted in completing other transfer paperwork
- Created awareness that certain information should be communicated during transfer/discharge



# Questions and Discussion

Interfacility transfer form available from the NC SHARPPS  
Program webpage:

<http://epi.publichealth.nc.gov/cd/hai/providers.html>.

Please email [nchai@dhhs.nc.gov](mailto:nchai@dhhs.nc.gov) with questions or for more  
information.

## Contact

Katie Steider, MPH, CPH

[katie.steider@dhhs.nc.gov](mailto:katie.steider@dhhs.nc.gov)

919-546-1712



# References

- Joint Commission Sentinel Event Alert Issue 58, [https://www.jointcommission.org/sentinel\\_event\\_alert\\_58\\_inadequate\\_handoff\\_communications/](https://www.jointcommission.org/sentinel_event_alert_58_inadequate_handoff_communications/)
- Starmer, AJ, Schnock, KO, Lyons, A, et al. (2017). *Effects of the I-Pass Nursing Handoff Bundle on communication quality and workflow*. BMJ Quality and Safety, 26, 949-957.



## LiveSlides web content

To view

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**Start the presentation.**

# Save The Date!

March	April	May	June
<p><b>Safe Table: Handoffs &amp; Transitions of Care</b>  <i>Tuesday, 3/20/18, 10a-1:30p</i>  <i>O’Berry Neuro-Medical Treatment Center, Goldsboro, NC</i>  <a href="#">Register</a></p> <p>Handoff communications occur frequently, are often crucial to safe patient transitions, and remain a challenge throughout the continuum of care. Let’s talk about it!</p>	<p><b>Webinar: Member Spotlight on National Patient Safety Awareness Week Activities</b>  <i>Thursday, 4/5/18, 2-3p</i>  <a href="#">Register</a>  <i>Seeking presenters!</i></p>	<p><b>Safe Table: Patient-Staff Violence</b>  <i>Seeking Host Site!</i></p> <p>Disruptive patient behavior is increasingly common but not always reported. Have you experienced physical violence (hitting, biting, scratching) or verbal violence (insults, threats, cursing) from a patient? Join us as we discuss patient-staff violence and present suggestions to promote safety and support staff members.</p>	<p><b>1-Day Workshop: RCA<sup>2</sup>: Foundations &amp; Implementation</b>  <i>Thursday, 6/14/18</i>  <i>NCHA, Cary, NC</i>  <a href="#">Register</a></p> <p>Jessica Behrhorst, System Director of Quality &amp; Patient Safety at Oshner Health System, will review the foundational tools used in RCA<sup>2</sup> and share her implementation experience.</p>
<p><b>Safe Table: Handoffs &amp; Transitions of Care</b>  <i>Thursday, 3/29/18 10a-1:30p</i>  <i>Nash Health Care, Rocky Mount, NC</i>  <a href="#">Register</a></p> <p>Handoff communications occur frequently, are often crucial to safe patient transitions, and remain a challenge throughout the continuum of care. Let’s talk about it!</p>	<p><b>1-Day Workshop: Caring for Behavioral Health Patients in Non-Behavioral Health Settings: A Primer for Professionals (Clinical &amp; Non-Clinical)</b>  <i>Wednesday, 4/11/18</i>  <i>NCHA, Cary, NC</i>  <a href="#">Register</a></p> <p>An interactive workshop on common behavioral health presentations, communication techniques, physical safety considerations, and unique NC challenges.</p>		